

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65910KL1998PLC012154

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCM5994M

(ii) (a) Name of the company

MUTHOOTTU MINI FINANCIER!

(b) Registered office address

2/994 MUTHOOTTU BUILDINGSKOZHENCHERRY
PATHANAMTHITTA
Kerala
689641
India

(c) *e-mail ID of the company

cs@minimuthoottu.com

(d) *Telephone number with STD code

04842912178

(e) Website

(iii) Date of Incorporation

18/03/1998

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	22,500,000	22,500,000	22,500,000	22,500,000
Total amount of equity shares (in Rupees)	2,250,000,000	2,250,000,000	2,250,000,000	2,250,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares of Rs. 100 each				
Number of equity shares	22,500,000	22,500,000	22,500,000	22,500,000
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	2,250,000,000	2,250,000,000	2,250,000,000	2,250,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	22,500,000	2,250,000,000	2,250,000,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	22,500,000	2,250,000,000	2,250,000,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☒ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<div>30/09/2015</div>	
Date of registration of transfer (Date Month Year)		<div>31/08/2015</div>	
Type of transfer	<div>Equity Shares</div>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<div>1</div>	Amount per Share/ Debenture/Unit (in Rs.)	<div>100</div>
Ledger Folio of Transferor		<div>001</div>	
Transferor's Name	<div>MATHEW</div>	<div>MATHEW</div>	<div>ROY</div>
	Surname	middle name	first name
Ledger Folio of Transferee		<div>010</div>	
Transferee's Name	<div>KUTTY</div>	<div></div>	<div>THOMAS</div>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)				31/08/2015	
Type of transfer		Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		1	Amount per Share/ Debenture/Unit (in Rs.)		100
Ledger Folio of Transferor		001			
Transferor's Name	MATHEW		MATHEW		ROY
	Surname		middle name		first name
Ledger Folio of Transferee		011			
Transferee's Name	KUTTY K V				SAMUEL
	Surname		middle name		first name

Date of registration of transfer (Date Month Year)				31/08/2015	
Type of transfer		Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		1	Amount per Share/ Debenture/Unit (in Rs.)		100
Ledger Folio of Transferor		001			
Transferor's Name	MATHEW		MATHEW		ROY
	Surname		middle name		first name
Ledger Folio of Transferee		012			
Transferee's Name	THOMAS				RAJU
	Surname		middle name		first name

Date of registration of transfer (Date Month Year)				31/08/2015	
Type of transfer		Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		1	Amount per Share/ Debenture/Unit (in Rs.)		100
Ledger Folio of Transferor		001			
Transferor's Name		MATHEW	MATHEW	ROY	
	Surname		middle name	first name	
Ledger Folio of Transferee		013			
Transferee's Name		MATHEW		IVAN	
	Surname		middle name	first name	

Date of registration of transfer (Date Month Year)				31/08/2015	
Type of transfer		Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		1	Amount per Share/ Debenture/Unit (in Rs.)		100
Ledger Folio of Transferor		001			
Transferor's Name		MATHEW	MATHEW	ROY	
	Surname		middle name	first name	
Ledger Folio of Transferee		014			
Transferee's Name		VARGHESE		C K	
	Surname		middle name	first name	

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	203,282,797	1	15,489,536,797
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,077,449,984
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			987,804,000
Deposit			0
Total			18,554,790,781

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	12,405,122,833	7,419,160,000	4,334,746,036	15,489,536,797
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

3,910,535,248

(ii) Net worth of the Company

4,443,906,903

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,032,535	80.1446	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,467,460	19.85537778	0	
10.	Others	0	0	0	
	Total	22,499,995	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5	0.00002222	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	5	0	0	0

Total number of shareholders (other than promoters)

5

**Total number of shareholders (Promoters+Public/
Other than promoters)**

12

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	5
Debenture holders	82,616	76,473

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	3	0	2	0	6.33	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	3	2	3	6.33	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
THOMAS CHERIAN	00492598	Director	0	
NIZZY MATHEW	01680739	Whole-time director	38,094	
MATHEW MUTHOOTTI	01786534	Whole-time director	1,388,094	
PHILOMINA THOMAS	02551080	Director	0	
MAMMEN MATHEWS	07288499	Director	0	
SMITHA KS	BFDPS8397P	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
MAMMEN MATHEWS	07288499	Director	30/09/2015	Appointment
SMITHA KS	BFDPS8397P	Company Secretar	09/05/2015	Appointment
K.P Venugopal	02287037	Additional director	30/08/2015	Cessation
ROY MATHEW MATHE	01680866	Managing Director	01/03/2016	Cessation
ANOOP THOMAS JACO	AKMPJ2347R	CFO	02/02/2016	Cessation
Priya K Menon	AROPP4682L	Company Secretar	06/05/2015	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

4

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	30/09/2015	12	12	100
EXTRA ORDINARY GENERAL MEETING	25/10/2015	12	11	91.66
EXTRA ORDINARY GENERAL MEETING	15/12/2015	12	11	91.66
EXTRA ORDINARY GENERAL MEETING	15/04/2015	7	7	100

B. BOARD MEETINGS

*Number of meetings held

14

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	07/04/2015	6	6	100
2	30/04/2015	6	6	100
3	11/05/2015	6	6	100
4	30/05/2015	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
5	10/06/2015	6	4	66.66666667
6	30/06/2015	6	4	66.66666667
7	10/07/2015	6	6	100
8	31/07/2015	6	4	66.66666667
9	31/08/2015	6	5	83.33333333
10	03/10/2015	6	5	83.33333333
11	26/10/2015	6	5	83.33333333
12	12/11/2015	7	6	85.71428571

C. COMMITTEE MEETINGS

Number of meetings held

22

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/05/2015	4	3	75
2	AUDIT COMM	31/08/2015	4	3	75
3	AUDIT COMM	12/11/2015	4	3	75
4	AUDIT COMM	07/03/2016	4	3	75
5	CORPORATE	08/07/2015	4	4	100
6	CORPORATE	12/11/2015	4	4	100
7	CORPORATE	07/03/2016	4	3	75
8	NOMINATION	30/04/2015	4	3	75
9	NOMINATION	31/08/2015	4	2	50
10	NOMINATION	07/03/2016	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2016
								(Y/N/NA)
1	THOMAS CHE	14	14	100	22	11	50	Yes
2	NIZZY MATHE	14	14	100	15	15	100	Yes
3	MATHEW MU	14	14	100	19	16	84.21052632	Yes
4	PHILOMINA T	14	11	78.57142857	7	7	100	No
5	MAMMEN MA	5	3	60	3	2	66.66666667	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ROY MATHEW MA	Managing Direct	8,800,000	0	0	0	8,800,000
2	MATHEW MUTHOC	Wholetime Direc	960,000	0	0	0	960,000
3	NIZZY MATHEW	Wholetime Direc	2,400,000	0	0	0	2,400,000
	Total		12,160,000	0	0	0	12,160,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SMITHA KS	Company Secre	1,396,482	0	0	0	1,396,482
2	ANOOP THOMAS J	CFO(KMP)	1,368,000	0	0	0	1,368,000
	Total		2,764,482	0	0	0	2,764,482

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	THOMAS CHERIAN	Director	0	0	0	280,000	280,000
2	PHILOMINA THOM	Director	0	0	0	220,000	220,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	MAMMEN MATHEV	Director	0	0	0	60,000	60,000
4	K.P Venugopal	Director	0	0	0	100,000	100,000
	Total		0	0	0	660,000	660,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☐ Yes ☒ No

B. If No, give reasons/observations

AS STATED IN MGT -8 AND SECRETARIAL AUDIT REPORT

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☐ Nil

1

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
MUTHOOTTU MIN	RBI	16/07/2015	NIL	Rs. 500000 paid a	NIL

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

N SATHEESH KUMAR

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

6607

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

MATHEW
MUTHOOT
U

DIN of the director

01786534

To be digitally signed by

Smitha
K.S

- ☒ Company Secretary
☐ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

list of Shareholders -Signed.pdf
Meeting Attendance - Signed.pdf
indebtedness.pdf
mgt-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

MUTHOOTTU MINI FINANCIERS LTD

CIN: U65910KL1998PLC012154

Corporate Office:

M M Tech Towers

Kaloor, Ernakulam

Kerala - 682 017, India

Tel: +91 484 4101010

E-mail: info@muthoottumini.com



Registered Office:

Muthoottu Buildings, Market Road

Kozhencherry, Pathanamthitta

Kerala - 689 641, India

Tel: +91 468 2314391, 2214946

E-mail: mail@muthoottumini.com

Non Convertible Debentures outstanding as at the end of 31.03.2016**Debenture Details As on 31/03/2016**

<u>Type</u>	<u>Unit (Rs.)</u>	<u>No of Debentures</u>	<u>Amount</u>
Sec + Unsec privately placed	500,000.00	634	317,000,000.00
Sec + Unsec & privately placed + public issue	1,000.00	14969254	14,969,254,000.00
Secured Privately Placed	1.00	203282797	203,282,797.00
TOTAL			15,48,95,36,797

For MUTHOOTTU MINI FINANCIERS LTD.

K. S. Smitha
Company Secretary

List of Shareholders as on 31/03/2016

Sr. No.	Name of the Shareholder	Address	Total number of Equity Shares	Total shareholding as a % of total number of Equity Shares
1.	Roy M. Mathew	Muthootu House Kozhencherry Pathanamthitta, Kerala- 68964	16606347	73.81
2.	Muthootu Mini Hotels Private Limited	Muthootu House, Kozhencherry, Pathanamthitta, Kerala- 689641	2412698	10.72
3.	Mini Muthootu Credit India Private Limited	Door No 10/95262, Kozhencherry P.O Pathanamthitta, Kerala- 689641	1419841	6.31
4.	Mathew Muthootu	Muthootu House Kozhencherry Pathanamthitta, Kerala- 68964	1388094	6.17
5.	RMM Properties India Private Limited	Muthootu Building, Kozhencherry, Pathanamthitta, Kerala- 689641	629207	2.80
6.	Nizzy Mathew	Muthootu House Kozhencherry Pathanamthitta - 68964, Kerala	38094	0.17
7.	Muthootu Mini Theatres Private Limited	Door No.4/35 First Floor, Mugappair East, Parisalai,TN 600037	5714	0.02
8.	Thomas Kutty	Rahuvpara House Chirayiram P.O, Maramon, Kozhencherry, Kerala - 689654	1	0.00
9.	Samuel Kutty K V	Kavungalil House, Chekkulam, Thekkemala P.O. Kozhencherry - 689654	1	0.00
10.	Raju Thomas	Kallodikuzhiyil, Pathanamthitta, P.O - 689654	1	0.00
11.	Ivan Mathew	Vattackad, Kodumthara, Pathanamthitta, Kodumthara, Kerala-689645	1	0.00
12.	C K Varghese	Charippurathu House Thekkmala P.O Kozhencherry, Pathanamthitta -689654	1	0.00
	Total		2,25,00,000	100



For MUTHOOTU MINI FINANCIERS LTD.

K. S. Smitha
Company Secretary

Board Meeting

sl:	date of meeting	Roy M Mathew	Mathew Muthoottu	Nizzy Mathew	Thomas Cherian	Philomina Thomas	K P Venugopal	Mammen Mathews
1	7-Apr-15	P	P	P	P	P	P	x
2	30-Apr-15	P	P	P	P	P	P	x
3	11-May-15	P	P	P	P	P	P	x
4	30-May-15	P	P	P	P	P	P	x
5	10-Jun-15	P	P	P	P	x	x	x
6	30-Jun-15	P	P	P	P	x	x	x
7	10-Jul-15	P	P	P	P	P	P	x
8	31-Jul-15	P	P	P	P	x	x	x
9	31-Aug-15	P	P	P	P	P	x	x
10	3-Oct-15	P	P	P	P	P	x	x
11	26-Oct-15	P	P	P	P	P	x	x
12	12-Nov-15	P	P	P	P	P	x	P
13	16-Dec-15	P	P	P	P	P	x	P
14	7-Mar-16	P	P	P	P	P	x	P

Audit Committee

Date of Meeting	Thomas Cherian	Philomina Thomas	Roy M Mathew	Mathew Muthoottu
30-May-15	P	P	P	X
31-Aug-15	P	P	P	X
12-Nov-15	P	P	P	X
7-Mar-16	P	P	X	P

Corporate Social Responsibility Committee

Date of Meeting	Roy M Mathew	Mathew Muthoottu	Nizzy Mathew	Thomas Cherian
8-Jul-15	P	P	P	P
12-Nov-15	P	P	P	P
7-Mar-15	X	P	P	P

Nomination Committee

Date of Meeting	Thomas Cherian	Philomina Thomas	K P Venugopal	Mammen Mathews
30-Apr-15	P	P	P	X
31-Aug-15	P	P	X	X
07-April-16	P	P	X	P

For Muthoottu Mini Financiers Limited


Mathew Muthoottu
Managing Director
DIN : 01786534

Debenture Committee

Date of Meeting	Roy M Mathew	Nizzy Mathew	Mathew Muthoottu	Thomas Cherian
7/4/2015	P	P	P	X
16/04/2015	P	P	P	X
25/8/2015	P	P	P	X
25/09/2015	P	P	P	X
15/10/2015	P	P	P	X
11/12/2015	P	P	P	X
14/1/2016	P	P	P	X
27/01/2016	P	P	P	X
31/01/2016	P	P	P	X
3/2/2016	P	P	P	X
29/2/2016	P	P	P	X
30/03/2016	X	P	P	P

AGM/EGM

<u>15/04/2015</u>	<u>30/09/2015</u>	<u>25/10/2015</u>	<u>15/12/2015</u>
Roy M Mathew	Roy M Mathew	Roy M Mathew	Roy M Mathew
Nizzy Mathew	Nizzy Mathew	Nizzy Mathew	Nizzy Mathew
Mathew Muthoottu	Mathew Muthoottu	Mathew Muthoottu	Mathew Muthoottu
Thomas Cherian	Thomas Cherian	Thomas Cherian	Thomas Cherian
Muthoottu Mini Theatres Private Limited	Philomina Thomas	Muthoottu Mini Theatres Private Limited	Muthoottu Mini Theatres Private Limited
Muthoottu Mini Hotels Private Limited	Muthoottu Mini Theatres Private Limited	Muthoottu Mini Hotels Private Limited	Muthoottu Mini Hotels Private Limited
RMM Properties India Private Limited	Muthoottu Mini Hotels Private Limited	RMM Properties India Private Limited	RMM Properties India Private Limited
Mini Muthoottu Credit India Private Limited	RMM Properties India Private Limited	Mini Muthoottu Credit India Private Limited	Mini Muthoottu Credit India Private Limited
	Mini Muthoottu Credit India Private Limited	Thomas Kutty	Thomas Kutty
	Thomas Kutty	Samuel Kutty K V	Samuel Kutty K V
	Samuel Kutty K V	Raju Thomas	Raju Thomas
	Raju Thomas	Ivan Mathew	Ivan Mathew
	Ivan Mathew	C K Varghese	C K Varghese
	C K Varghese		

For Muthoottu Mini Financiers Limited


 Mathew Muthoottu
 Managing Director
 DIN: 01786534

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **MUTHOOTTU MINI FINANCIERS LIMITED** (CIN: U65910KL1998PLC012154) (**the Company**) as required to be maintained under the Companies Act, 2013 (**the Act**) and the rules made there under for the financial year ended on 31.03.2016. In our opinion and to the best of my information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has fully complied with provisions of the Act & Rules made there under except as stated below in respect of:
 1. its status under the Act;
 2. the company has updated its registers/records & making entries therein;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within in the time provided that the company has not filed certain forms with Registrar of Companies as required under the Act for the transactions made during the period and some other forms are filed with delay with additional fee as may be applicable.
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions if any.
 5. Not required to close it's of Register of Members / Security holders, as the case may be.
 6. As the available details and information provided to us we are in the opinion that the Company has not advanced any loans to its directors and/or persons or firms or companies referred in section 185 of the Act.
 7. The Company has complied transaction entered with related parties under section 188 of the Act;
 8. The Company has complied with the transfer of shares taken place in accordance with the provisions of the Act
 9. There is no case of keeping abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act



10. No case of declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.
13. appointment of auditors as per the provisions of section 139 of the Act.
14. The Company has not required to obtain any approvals/ extensions from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. There is no case of acceptance/ renewal/ repayment of deposits public ;
16. borrowings from banks and others and creation/ modification/ satisfaction of charges in that respect,
17. Not given any loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act to the extent of its applicability
18. there is no alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

For Satheesh And Remesh
Company Secretaries

Place: Koduv
Date: 01.01.2017

Signature: 

Name of Company Secretary in Practice: Kumar B Com, ACS
C.P. No. : 6607

