

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65910KL1998PLC012154

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCM5994M

(ii) (a) Name of the company

MUTHOOTTU MINI FINANCIER!

(b) Registered office address

2/994 MUTHOOTTU BUILDINGSKOZHENCHERRY
PATHANAMTHITTA
Kerala
689641
India

(c) *e-mail ID of the company

csdepartment@minimuthoottu

(d) *Telephone number with STD code

04842912178

(e) Website

(iii) Date of Incorporation

18/03/1998

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☒ Yes ☐ No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

Pre-fill

(e) Extended due date of AGM after grant of extension

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	32,500,000	24,952,539	24,952,539	24,952,539
Total amount of equity shares (in Rupees)	3,250,000,000	2,495,253,900	2,495,253,900	2,495,253,900

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	32,500,000	24,952,539	24,952,539	24,952,539
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	3,250,000,000	2,495,253,900	2,495,253,900	2,495,253,900

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	24,952,539	2,495,253,900	2,495,253,900	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	24,952,539	2,495,253,900	2,495,253,900	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input style="width: 90%;" type="text"/>
Date of registration of transfer (Date Month Year) <input style="width: 90%;" type="text"/>	
Type of transfer	<div style="display: flex; align-items: center;"> <input style="width: 50px; height: 30px; margin-right: 10px;" type="text"/> 1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock </div>
Number of Shares/ Debentures/ Units Transferred	<div style="display: flex; align-items: center;"> <input style="width: 150px; height: 30px; margin-right: 10px;" type="text"/> <div style="border-left: 1px solid black; padding-left: 10px;"> Amount per Share/ Debenture/Unit (in Rs.) <input style="width: 150px; height: 30px;" type="text"/> </div> </div>
Ledger Folio of Transferor	<input style="width: 90%;" type="text"/>

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	6,762,427	1000,100000,500000	7,054,317,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,161,863,744
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,065,692,682
Deposit			0
Total			15,281,873,426

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	3,931,803,000	4,420,918,000	1,298,404,000	7,054,317,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

31,296.67

(ii) Net worth of the Company

47,480.38

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,134,358	72.68	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	18,134,358	72.68	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,000,000	4.01	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,818,181	23.32	0	
10.	Others	0	0	0	
	Total	6,818,181	27.33	0	0

Total number of shareholders (other than promoters)

10

**Total number of shareholders (Promoters+Public/
Other than promoters)**

12

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	10	10
Debenture holders	15,570	26,320

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	2	0	2	0	72.68	0
B. Non-Promoter	0	3	0	4	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	2	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	2	4	72.68	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MATHEW MUTHOOTTI	01786534	Managing Director	14,779,912	
NIZZY MATHEW	01680739	Whole-time director	3,354,446	
RAJAGOPAL SASEENI	08114376	Director	0	
THOMAS CHERIAN	00492598	Director	0	30/09/2020
Rudran Puthukulangara	00546638	Additional director	0	26/09/2020
PAUL JOSE MALIAKAL	07218120	Director	0	
ANN MARY GEORGE	ALFPG2005H	CFO	0	
SMITHA KS	BFDPS8397P	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PAUL JOSE MALIAKAL	07218120	Director	18/11/2019	Appointment
Rudran Puthukulangara	00546638	Additional director	26/02/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	30/09/2019	12	12	100
EGM	12/12/2019	12	12	100

B. BOARD MEETINGS

*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/04/2019	5	3	60
2	28/05/2019	5	5	100
3	21/06/2019	5	3	60
4	02/07/2019	5	3	60
5	01/08/2019	5	3	60
6	12/09/2019	5	3	60
7	16/09/2019	5	3	60
8	18/11/2019	5	5	100
9	20/11/2019	5	3	60
10	27/12/2019	5	3	60

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
11	15/01/2020	5	3	60
12	18/02/2020	5	3	60

C. COMMITTEE MEETINGS

Number of meetings held

18

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	28/05/2019	3	3	100
2	AUDIT COMM	16/09/2019	3	3	100
3	AUDIT COMM	18/11/2019	3	3	100
4	AUDIT COMM	26/02/2020	3	3	100
5	CSR COMMIT	24/04/2019	3	3	100
6	CSR COMMIT	12/09/2019	3	3	100
7	NOMINATION	18/11/2019	3	3	100
8	NOMINATION	26/02/2020	3	3	100
9	DEBENTURE	30/04/2019	3	3	100
10	DEBENTURE	12/06/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/09/2020
								(Y/N/NA)
1	MATHEW MU	13	13	100	16	16	100	Yes
2	NIZZY MATHE	13	13	100	12	12	100	Yes
3	RAJAGOPAL	13	3	23.08	2	2	100	No
4	THOMAS CHE	13	13	100	18	18	100	Yes

5	Rudran Puthul	1	1	100	0	0	0	No
6	PAUL JOSE M	6	2	33.33	5	5	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NIZZY MATHEW	Wholetime Direc	5,500,000	0	0	0	5,500,000
2	MATHEW MUTHOC	Managing Direct	6,600,000	0	0	0	6,600,000
	Total		12,100,000	0	0	0	12,100,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SMITHA KS	Company Secre	1,856,540	0	0	0	1,856,540
2	ANN MARY GEORGE	CFO	2,283,040	0	0	0	2,283,040
3	Kurian P Abraham	CEO	2,972,403	0	0	0	2,972,403
	Total		7,111,983	0	0	0	7,111,983

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rudran Puthukulang	Additional direct	20,000	0	0		20,000
2	PAUL JOSE MALIA	Director	50,000	0	0		50,000
3	RAJAGOPAL SASE	Director	85,000	0	0		85,000
4	KRISHNAKUMAR K	Director	20,000	0	0		20,000
5	THOMAS CHERIAN	Director	270,000	0	0		270,000
	Total		445,000	0	0		445,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☐ Nil

1

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
MUTHOOTTU MIN	SEBI	26/02/2020	Section 15HB Of SE	1000000	NIL

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SATHEESH KUMAR N

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

6607

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

NIZZY
MATHEW
Digitally signed by
NIZZY MATHEW
Date: 2020.12.21
13:01:03 +05'30'

DIN of the director

To be digitally signed by

SATHEESH
KUMAR N
Digitally signed by
SATHEESH KUMAR
N
Date: 2020.12.21
13:01:34 +05'30'

☐ Company Secretary

☒ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

board meeting.pdf
TRANSFER DETAILS.pdf
AGM EXTENTION.pdf
Shareholding pattern.pdf
List of debentureholders.pdf
Meeting Attendance .pdf
IMG_0001.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Phone-(0484) 2421626
फैक्स /Fax: (0484) 2422327

Email ID: roc.ernakulam@mca.gov.in



GOVERNMENT OF INDIA
Ministry of Corporate Affairs
कम्पनी पंजीयक का कार्यालय , केरल एवं लक्षद्वीप
Registrar of Companies, Kerala & Lakshadweep

प्रथम मंजिल, कम्पनी विधि भवन,
First Floor, Company Law Bhavan,
बी.एम.सी. रोड, त्रिक्काकरा,
B.M.C. Road, Thrikkakara,
कोच्चि, केरल
Kochi, Kerala.
Pin Code 682021

ROC/ESTT/U.S.96/ 4659 /2020

08.09.2020

ORDER UNDER SECTION 96(1) OF THE COMPANIES ACT 2013 REGARDING GRANTING EXTENSION OF TIME FOR HOLDING THE ANNUAL GENERAL MEETING (AGM) FOR THE FINANCIAL YEAR ENDED 31.03.2020 W.R.T. THE COMPANIES REGISTERED WITH REGISTRAR OF COMPANIES, KERALA

1. Whereas sub-section (1) of Section 96 of the Companies Act, 2013 (the Act) provides, inter-alia, that every company, other than a One Person company, shall in each year hold in addition to any other meetings, a general meeting as its annual general meeting (AGM) and shall specify the meeting as such in the notices calling it, and not more than fifteen months shall elapse between the date of one AGM of a company and that of the next;
2. And whereas, the first proviso to sub-section (1) of Section 96 of the Act provides that in case of the first AGM, it shall be held within a period of nine months from the date of closing of the first financial year of the company and in any other case, within a period of six months, from the date of closing of the financial year;
3. And whereas, the third proviso to Section 96(1) of the Act provides that the Registrar may, for any special reason, extend the time within which any annual general meeting, other than the first annual general meeting, shall be held, by a period not exceeding three months;

4. And whereas, various representations have been received from the companies, Industry bodies and Professional Institutes pointing out that several companies are finding it difficult to hold their AGM for the financial year ended on 31.03.2020 due to the difficulties faced in view of the Covid-19 Pandemic;
5. And whereas, the representations have been considered and the undersigned is of the considered opinion that due to such unprecedented special reasons, the time within which the AGM for the financial year ended on 31.03.2020 is required to be held as per provisions of sub-section (1) of Section 96 ought to be extended in terms of the third proviso to Section 96(1);
6. Now, therefore, in terms of power vested with the undersigned under the third proviso to sub-section (1) of Section 96 of the Act, I hereby extend the time to hold the AGM, other than the first AGM, for the financial year ended on 31.03.2020 for companies within the jurisdiction of this office, which are unable to hold their AGM for such period within the due date of holding the AGM by a period of three months from the due date by which the AGM ought to have been held in accordance with the provisions of sub-section(1) to Section 96 of the Act, **without requiring the companies to file applications for seeking such extension by filing the prescribed Form No. GNL-1.**

Explanation: It is hereby clarified that the extension granted under this Order shall also cover the:

- (i) **Pending applications filed** in Form No. GNL-1 for the extension of AGM for the financial year ended on 31.03.2020, which are yet to be approved;
- (ii) Applications filed in Form No. GNL-1 for the extension of AGM for the financial year ended on 31.03.2020, **which were rejected,**

Where the approval for extension of AGM up to 3 months from the due date of the AGM shall be deemed to have been granted by the undersigned without any further action on the part of the company.



(G. C. YADAV)

REGISTRAR OF COMPANIES, KERALA & LAKSHADWEEP

Meetings of Directors:

During FY 2019-20 the Board met on thirteen times to discuss and decide on various business and policy matters of the Company. Board meeting dates and the attendance of members in meeting were as under.

Date of Board meeting	Board Strength	Directors Present
24/04/2019	5	3
28.05.2019	5	5
21.06.2019	5	3
02.07.2019	5	3
01.08.2019	5	3
12.09.2019	5	3
16.09.2019	5	3
18.11.2019	5	5
20.11.2019	5	3
27.12.2019	5	3
15.01.2020	5	3
18.02.2020	5	3
26.02.2020	6	6

SATHEESH AND REMESH
COMPANY SECRETARIES



Bldg. No. 55/1682, 2nd Floor,
Krishna Apartments, M G Road,
Ravipuram, Ernakulam, Kochi-16
Mobile: 9447431347/9746094939
Email: sathveeka001@gmail.com
GSTIN. 32ABLFS2799A1Z4

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **MUTHOOTTU MINI FINANCIERS LIMITED** (CIN: U65910KL1998PLC012154) (**the Company**) as required to be maintained under the Companies Act, 2013 (**the Act**) and the rules made there under for the financial year ended on 31.03.2020. In our opinion and to the best of my information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has fully complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. the company has updated its registers/records & making entries therein;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within in the time provided that the company has filed certain forms with delay with additional fee as may be applicable.
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions if any.
 5. Not required to close it's of Register of Members / Security holders, as the case may be.
 6. As the available details and information provided to us we are in the opinion that the Company has not advanced any loans to its directors and/or persons or firms or companies referred in section 185 of the Act.
 7. The Company has complied transaction entered with related parties under section 188 of the Act;
 8. the transfer of securities taken place in accordance with the provisions of the Act



9. There is no case of keeping abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
10. No case of declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.
13. Appointment of auditors as per the provisions of section 139 of the Act.
14. The Company has not required to obtain any approvals/ extensions from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. There is no case of acceptance/ renewal/ repayment of deposits public ;
16. borrowings from banks and others and creation/ modification/ satisfaction of charges in that respect,
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act to the extent of its applicability
18. no alteration of the provisions of the Memorandum of association and Articles of Association of the Company during the period;

Place: Kochi
Date: 21.12.2020

Signature

N. SATHEESH KUMAR
C.P. No. : 6607

UDIN. A016543B001578391.



BOARD MEETING

Date of Meeting	Mathew Muthoottu	Nizzy Mathew	Thomas Cherian	K R Krishnakumar	M S Rajagopal	M J Paul	Rudran P
24.04.2019	P	P	P	X	X	NA	NA
28.05.2019	P	P	P	P	P	NA	NA
21.06.2019	P	P	P	X	X	NA	NA
02.07.2019	P	P	P	X	X	NA	NA
01.08.2019	P	P	P	X	X	NA	NA
12.09.2019	P	P	P	X	X	NA	NA
16.09.2019	P	P	P	X	X	NA	NA
18.11.2019	P	P	P	P	P	P	NA
20.11.2019	P	P	P	NA	X	X	NA
27.12.2019	P	P	P	NA	X	X	NA
15.01.2020	P	P	P	NA	X	X	NA
18.02.2020	P	P	P	NA	X	X	NA
26.02.2020	P	P	P	NA	P	P	P

AUDIT COMMITTEE

Date of Meeting	Thomas Cherian	K R Krishnakumar	Mathew Muthoottu	M J Paul
28.05.2019	P	P	P	NA
16.09.2019	P	NA	P	P
18.11.2019	P	NA	P	P
26.02.2020	P	NA	P	P

CSR COMMITTEE

Date of Meeting	Mathew Muthoottu	Nizzy Mathew	Thomas Cherian
24.04.2019	P	P	P
12.09.2019	P	P	P

NOMINATION & REMUNERATION COMMITTEE

Date of Meeting	Thomas Cherian	M S Rajagopal	M J Paul
18.11.2019	P	P	P
26.02.2020	P	P	P

DEBENTURE COMMITTEE

Date of Meeting	Mathew Muthoottu	Nizzy Mathew	Thomas Cherian
30.04.2019	P	P	P
12.06.2019	P	P	P
17.07.2019	P	P	P
18.07.2019	P	P	P
29.07.2019	P	P	P
16.10.2019	P	P	P
19.12.2019	P	P	P
21.12.2019	P	P	P
14.01.2020	P	P	P
16.03.2020	P	P	P

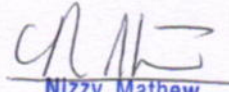


Handwritten signature/initials

AGM/EGM

<u>30.09.2019</u>	<u>12.12.2019</u>
Nizzy Mathew	Nizzy Mathew
Mathew Muthoottu	Mathew Muthoottu
Thomas Cherian	Thomas Cherian
Roy M Mathew	Roy M Mathew
Muthoottu Mini Theatres Private Limited	Muthoottu Mini Theatres Private Limited
Muthoottu Mini Hotels Private Limited	Muthoottu Mini Hotels Private Limited
RMM Properties India Private Limited	RMM Properties India Private Limited
Mini Muthoottu Credit India Private Limited	Mini Muthoottu Credit India Private Limited
Thomas Kutty	Thomas Kutty
Samuel Kutty K V	Samuel Kutty K V
Raju Thomas	Raju Thomas
Ivan Mathew	Ivan Mathew
C K Varghese	C K Varghese

For MUTHOOTTU MINI FINANCIERS LTD.


Nizzy Mathew
Whole time Director





SHAREHOLDING PATTERN as on 31-3-2020

Sr. No.	Name of the Shareholder	No of shares held	% of ownership
1	Mathew Muthoottu	14779912	59.23
2	Muthoottu Mini Hotels Private Limited	2551298	10.22
3	Mini Muthoottu Credit India Private Limited	1419841	5.69
4	Roy M. Mathew	999995	4.01
5	RMM Properties India Private Limited	629207	2.52
6	Nizzy Mathew	3354446	13.44
7	Muthoottu Mini Theatres Private Limited	1217835	4.88
8	Thomas Kutty	1	0.00
9	Samuel Kutty K V	1	0.00
10	Raju Thomas	1	0.00
11	Ivan Mathew	1	0.00
12	C K Varghese	1	0.00
	Total	249,52,539	100



FOR MUTHOOTTU MINI FINANCIERS LTD.

K. S. Smitha
Company Secretary

Corporate Office:

Muthoottu Royal Towers,
Kaloor, Kochi, Kerala - 682 017, India
Tel: +91484 2912100, E-mail: info@muthoottumini.com

Registered Office:

Muthoottu Buildings, Market Road,
Kozhencherry, Pathanamthitta, Kerala - 689 641, India
Tel: +91 468 2314391, E-mail: mail@muthoottumini.com