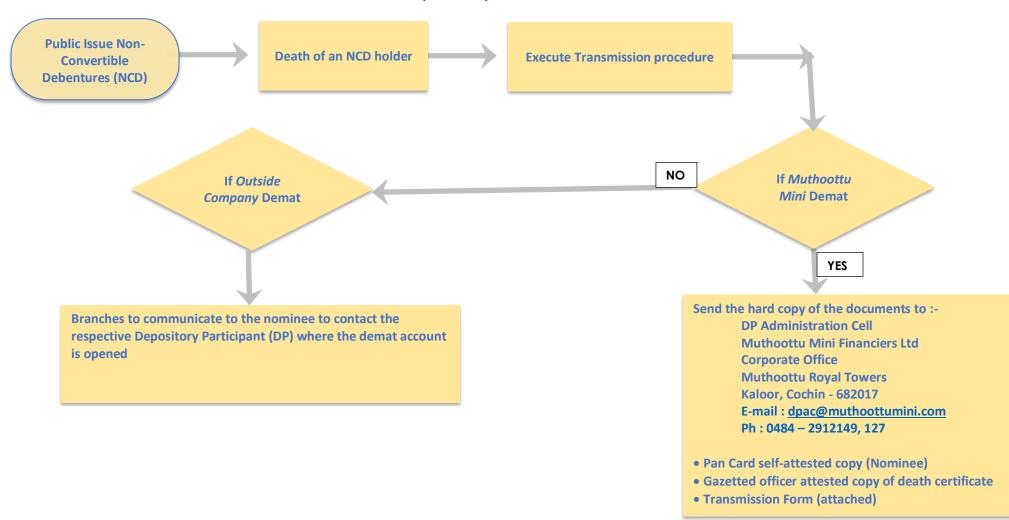




TRANSMISSION OF NON-CONVERTIBLE DEBENTURES (DEMAT) – Process Flow





Muthoottu Mini Financiers Ltd

If Muthoottu Mini demat is jointly held If there is no nomination in Muthoottu Mini demat If a legal heir claims NCD proceeds, even if a nominee is registered If deceased's demat is with Muthoottu Mini & nominee's demat is held outside the company

If the 1st holder is deceased, NCD proceeds will be transferred to the 2nd holder upon the clause that a separate demat account is opened by the 2nd holder.

*If any of the holders' become deceased, the existing demat account become invalid and a new account is to be opened by either of the holders.

NCD proceeds will be credited to the legal heir's account. Following documents to be submitted:-

- Death Certificate copy attested by Notary Public or Special Executive Officer
- > Transmission Form (attached)
- Pan Card self-attested copy (Legal Heir)
- Aadhaar Card copy/Passport copy self-attested (Legal Heir)
- Covering letter with NCD details (Optional)
- > Banker's verification form attested by the Bank Manager (Part 2 in transmission form)
- > Succession certificate / Heir ship certificate

An information mail will be sent to nominee. Company has the responsibility to transfer the amount only to the nominee registered in the demat account.

At present, 3 nominees can be registered & therir percentage of shares can be fixed.

Following documents to be submitted to Muthoottu Mini Demat Department:-

- Transmission Form (attached)
- Death Certificate copy attested by Notary Public or Special Executive Officer
- Pan Card self-attested copy (Nominee)
- Signed & sealed Client Master List (CML) copy of the nominee maintained in Outside Company's DP

TRANSMISSION REQUEST FORM (In case of death of the sole holder)

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